The use of oak chips during the fermentation process: effects on phenolic compounds profile and antioxidant activity in Syrah young wines

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Abstract

The use of oak chips is an alternative method to the traditional barrels in winemaking in order to improve the aged quality of the red wines. This study investigated the influence of adding of oak chips during the fermentation process on phenolic compounds profile and antioxidant activity. The American (Quercus alba) and/or French (Quercus petraea) oak chips were added (4 g L−1 doses) in the alcoholic fermentation and malolactic fermentation or malolactic fermentation alone. Results indicated that Syrah tropical wines presented higher levels (≥265 mg L−1) of phenolic compounds compared to wines fermented with oak chips (223–254 mg L−1). Twenty-five phenolics were identified among the wine samples, with gallic (70.67 mg L−1) and malvidin-3-O-glucoside (68.30 mg L−1) as the main compounds. However, the wine with French oak chip added during malolactic fermentation showed a higher antioxidant activity, when using ORAC assay. This study offers a practical application of oak chips in winemaking process as an alternative to produce high quality young red wines with low cost to the wineries in places of oak barrels.

Keywords: Vitis vinifera L.; Bioactive compounds; HPLC-DAD-FD; In vitro antioxidant assays; Tropical red wine.

1. Introduction

The ageing of wine in wood barrel for red wines is a traditional and commonly applied technique in winemaking that promotes several advantages. One of the most important effects is on color stability due to compounds derived from wood (e.g., gallic acid, syringic acid, vanillic acid, ferulic acid, ellagic acid, and ellagitannins), which migrate from wood to wine (Durner, 2016). Both American (Q. alba) and French (Q. petraea) oak significantly improve the content of phenolic compounds in wine, causing less color changes during the storage in the bottle (Liu et al., 2016), and may contribute to increased concentration of anthocyanins in young wines (Rodriguez-Solana et al., 2017). On the other hand, during the ageing in wood barrels for at least six months, the concentration of important aroma compounds, such as oak lactones, increase and improve the wine’s sensory characteristics, particularly aromas related to red fruits, vanilla, and coconut (Jackson, 2014).

The use of oak chip in winemaking is an alternative to the traditional barrels (Arapitsas et al., 2004; Frangipane et al., 2007), and the chip of Quercus genus is authorized by the International Oenological Codex in 2005, since they are an economically advantageous alternative for winemakers in regions where oak is unavailable. Moreover, oak chips demand less time to attain the
similar characteristics to those of wines aged in barrels (Cejudo-Bastante et al., 2011).

There are several studies on the application of oak chip in different steps of winemaking (e.g., alcoholic fermentation, malolactic or after fermentation) (Gállego et al., 2015a). In general, the amount of oak chips used in the studies with red wine varies from 3 to 9 g L$^{-1}$ (Alañón et al., 2011; Cejudo-Bastante et al., 2011).

The main objective of this study was to evaluate the application of American or French (or mixtures) oak chip in tropical wine during alcoholic or malolactic fermentation on the phenolic compounds and the antioxidant activity and check if the type of oak and stage of fermentation of infusion the oak chip was able to improve the quality of young wines. This is the first study that uses oak chips during the fermentation process of Syrah wine produced in the Vale do São Francisco, Brazil, and evaluates the effects on phenolic compounds profile and antioxidant activity.

2. Materials and methods

2.1. Chemicals and oenological materials

The oenological materials to the winemaking potassium metabisulfite, Saccharomyces cerevisiae bayanus Mauravin PDM® (Amazon Group, Rio de Janeiro, RJ, Brazil) and ammonium phosphate Gesferm Plus® were obtained from Amazon Group (Rio de Janeiro, RJ, Brazil), and potassium and metatarsic acid Stabigum® from AEB Group (São José dos Pinhais, PR, Brazil). Folin-Ciocalteu’s phenol reagent, TPTZ (2,4,6-tris(2-pyridyl)-S-triazine), AAPH (2,2’-azobis(2-methylpropionamidine dihydrochloride) and Trolox (6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid—Sigma-Aldrich, St. Louis, MO, USA) were the reagents used to analysis of total phenolic compounds and antioxidant activity.

Twenty-five standards of phenolic compounds were used to prepare the calibration curves for the HPLC analysis: ferulic acid and quercetin (ChemService, West Chester, PA, USA); caffeic, p-coumaric, chlorogenic and gallic acids (Sigma-Aldrich, St. Louis, MO, U.S.A.); kaempferol-3-O-glucose, myricetin, isorhamnetin-3-O-glucose, quercetin 3-P-D-glucose, rutin, (+)-catechin, (-)-epicatechin, (-)-epicatechin gallate, (-)-epigallocatechin gallate, procyanidin A2, procyanidin B1, procyanidin B2, pelargonidin-3-O-glucose, cyanidin-3-O-glucose, malvidin-3-O-glucose, delphinidin-3-O-glucose, peonidin-3-O-glucose, petunidin 3-O-glucose and trans-resveratrol (Extrasythens, Genay, France). As mobile phases, HPLC grade acetonitrile was purchased from JT Baker (Phillipsburg, N.J., U.S.A), phosphoric acid from Fluka (Buchs, Switzerland) and HPLC grade methanol from Vetec Quimica (Rio de Janeiro, Brazil) for cleaner the system.

2.2. Winemaking

Syrah grapes (Vitis vinifera L.) cultivated in the experimental field of the Embrapa Semi-Arid, Petrolina, Brazil, situated at 09°09′S and 40°22′W, with medium altitude with 365.5 meters, were harvested in July 2015.

After destemming in a commercial destemmer (Ricefer, Garibaldi, RS, Brazil), the grapes were transferred to a 25 L stainless steel vat with the addition of potassium metabisulfite (0.10 g L$^{-1}$). The alcoholic fermentation was started under controlled temperature (24 ± 2°C) with the addition of pectinolytic enzyme (0.01 g L$^{-1}$), commercial yeast Saccharomyces cerevisiae bayanus (0.20 g L$^{-1}$), and ammonium phosphate (0.20 g L$^{-1}$) to the musts. The maceration period (skins and seeds) was conducted in 30 days along with alcoholic and malolactic fermentation (Alencar et al., 2019). The alcoholic fermentation lasted 20 days, and the end was determined by measuring the density in hydrostatic electronic balance (Gibertini, Milan, Italy) until it remained constant, lower than 0.997 and the reducing sugars content was below 2 g L$^{-1}$ (Ribereau-Gayon et al., 2006). The skins and seeds were separated from the wine through pressing at 50 bar using a horizontal press (Control Tech Automação, Caxias do Sul, Brazil). The spontaneous malolactic fermentation was performed under controlled temperature (18 ± 1°C) and the end (30 days) was verified by paper chromatography to check the presence of malic acid. At the end of this stage, the wine was transferred to retain yeasts and suspended particles.

American and/or French oak chips (4 g L$^{-1}$) were added either at the start of the alcoholic or malolactic fermentation (García-Carpintero et al., 2014; Gordillo, Cejudo-Bastante et al., 2013) placed in nylon bags positioned at the center of the tank.

American oak chips (AEB-group, France), in pieces of 2.5 × 5.0 × 0.5 cm, 100% of Quercus petreae, with higher roasting, and American oak chips (Everintec, Italy), in pieces of 2.5 × 2.0 × 1.0 cm; 100% Quercus alba, medium roasting. The aging treatments were: WC (control wine without oak chips), WAAFM (wine added American oak chip in alcoholic and malolactic fermentation), WMF (wine added American oak chip in malolactic fermentation), WFMF (wine added with French oak chip during alcoholic and malolactic fermentation) and WAFMF (wine added with American and French oak chips in both fermentation). For the wines that received the oak chip in the alcoholic and malolactic fermentations, chips were substituted for new ones prior to the malolactic fermentation.

Stabilization was performed in a cold chamber for 10 days at 0°C and using Stabigum (0.40 g L$^{-1}$). Afterward, the wine was bottled (750 mL), the concentration of free SO$_2$ was corrected to 50 mg L$^{-1}$ (Ribereau-Gayon et al., 2006), and stored in a cellar at 18°C in the horizontal position for 30 days until analysis.

2.3. Oenological quality parameters

The analysis of the oenological quality parameters, namely pH, total titrable acidity, volatile acidity, alcohol content, reducing sugars, free and total sulfur dioxide, were carried out according to the standard method of the Association of Official Analytical Chemists (2007). The total polyphenol index (TP) was performed with wine dilutions of 1:100, followed by reading absorbance at 280 nm using a spectrophotometer Genesys™ 10S (Thermo Fisher Scientific, Waltham, MA) in Q-4 quartz cuvettes (Ribereau-Gayon et al., 2006) (Table S1).

2.4. Color intensity

Color intensity was measured in terms of absorbance at different wavelengths (420, 520, and 620 nm), using a spectrophotometer Genesys™ 10S (Thermo Fisher Scientific, Waltham, MA, USA). The color intensity value was obtained by summing the absorbance measurements of the wine in the violet, green, and red regions of the visible spectrum, according to Ribereau-Gayon et al. (2006).
2.5. Total phenolic compounds, monomeric anthocyanins and condensed tannins

The phenolic compounds were quantified using the Folin-Ciocalteu method (Swain and Hills, 1959). Aliquots of 800 μL of deionized water and 50 μL of Folin-Ciocalteu’s phenol reagent were mixed using a vortex with 50 μL of the wine. The mixture was allowed to stand for 3 min to react and sequentially 100-μL of 0.5 M Na₂CO₃ solution was added. The solution was incubated in a dark place (24 °C) for 2 h and then the absorbance was read at 725 nm using a UV–Vis multi-detection microplate reader (Synergy HT, Biotek, Winooski, VT, USA). The results were expressed as gallic acid equivalents (GAE mg L⁻¹) using a standard curve prepared with gallic acid.

Total monomeric anthocyanins were determined by the pH differential method (Lee et al., 2005). Wine aliquots were diluted in 0.025M HCl-KCl buffer (pH 1.0), and the absorbance was measured at 520 and 700 nm in a microplate reader (Synergy HT, Biotek, Winooski, VT, USA). Another dilution was made in 0.4 M Na₂CO₃ buffer (pH 4.5) and the absorbance was read at the same wavelengths. The calculation was performed using Equation 1. The quantification of total monomeric anthocyanins was done using malvidin-3-O-glucoside (MW 493.2) as a reference in Equation 2:

\[
A = [(A520 – A700 nm) \text{ pH } 1.0] \\
- [(A250– A700 nm) \text{ pH } 4.5]
\]

Where: MW = molecular weight; DF = dilution factor; μL = molar absorbitivity (28,000 mol L⁻¹ cm⁻¹) and l = path length (cm).

The content of total condensed tannins was determined by Vanillin-HCl method following the methodology described by Herbertson and Spayd (2006). The results were expressed as mg of vanillin equivalents per mL (VE mg mL⁻¹).

2.6. Identification and quantification of phenolic compounds

Chromatographic identification and quantification of phenolic compounds was performed by HPLC-DAD-FD (Waters 2695 Alliance system, Milford, MA, USA) using the wavelengths 280, 320, 360, and 520 nm for the diode array detector (DAD) and 280 nm excitation and 320 nm emission for the diode array detector (DAD) and 280 nm excitation and 320 nm emission for the diode array detector (DAD). The running conditions were: 0.5 mL min⁻¹ flow rate, 40 °C oven temperature, 10 μL injection volume; using a gradient elution as follows: 0 min 100% A; 10 min, 93% A; 20 min, 90% A; 30 min, 88% A; 40 min, 77% A; 45 min, 65.0% A, and 100% B at 55 min (totaling 60 min of running). The samples were filtered in a 0.45 μm nylon membrane (Phenomenex).

2.7. Antioxidant activity analysis

The antioxidant activity of the wines was evaluated by the reaction with FRAP reagent (Rufino et al., 2010; Benzie and Strain, 1996). The samples were incubated for 30 min at 37 °C, and the absorbance was measured at 595 nm (Synergy HT, Biotek, Winooski, VT, USA). A Trolox standard curve was used, and the results were expressed as μmol Trolox equivalents (TE) L⁻¹ (Benzie and Strain, 1996).

ORAC (hydrophilic oxygen radical absorbance capacity) (Ou et al., 2013) was also used to evaluate the antioxidant activity of the wines. The wines were diluted in phosphate buffer (75 mmol L⁻¹ pH 7.4). Sequentially the peroxyl radical was generated through the spontaneous decomposition of AAPH at 37 °C and fluorescein was used as a fluorescent probe. The decay of the fluorescence was read in a microplate reader (Synergy HT, Biotek, Winooski, VT, USA) using emission filter at 520 nm and excitation at 485 nm A Trolox standard curve was used, and the results were expressed as μmol Trolox equivalents (TE) L⁻¹.

2.8. Statistical analysis

The results were expressed as means ± standard deviation. For each parameter, wine samples collected from three bottles were analyzed. Analysis of variance and Tukey’s means comparison test were performed to check for significant differences (p < 0.05). The statistical analyses were carried out using the software XLStat (Addinsoft, 2019).

3. Results and discussion

The concentration of total monomeric anthocyanins ranged from 298 to 351 malvidin-3-O-glucoside equivalents mg L⁻¹ in the wines and no significant difference (p < 0.05) was observed between the six treatments (Fig. 1a). The fermentation with the oak chip did not significantly change the amount of monomeric anthocyanins as well as total phenolic compounds content (total reduced capacity), suggesting that the addition of different oak chips and the time that the oak chips were in contact with the wine did not affect the Syrah wine characteristics (Fig. 1b). However, a decrease in condensed tannins was observed when French oak was added during the alcoholic and malolactic fermentations (WFAMF) (Fig. 1c).

Twenty-five phenolic compounds were identified in the Syrah wines (Table 1). Among them, ferulic acid and (+)-catechin did not show differences (p < 0.05) among the treatments. Two phenolic acids appeared among the major phenolic compounds in the wines, especially in the control sample: gallic acid (70.67 mg L⁻¹) and chlorogenic acid (5.27 mg L⁻¹). It seems that the amount of oak chips (4g L⁻¹) used in the present study was not enough to promote an increase in these acids in the wines, in contrast to the study by Liu et al. (2016) that reported an increase in phenolic acids (chlorogenic acid, gallic acid, caffeic acid) content after the addition of 5 g L⁻¹ of French oak chip in the alcoholic and malolactic fermentation, a slightly higher amount of chips as compared to the present study. Regardless of the oak chip, the content of caffeic acid was greater in the samples where both alcoholic and malolactic fermentations took place when compared with the ones in which only the malolactic fermentation was performed.

The use of the oak chip during the fermentation process produced changes in the flavonols aglycones and procyanidins concentrations, as well as in tannins that might have undergone transformations such as combination with monomeric flavanols as suggested by Ribéreau-Gayon et al. (2006). It is observed that
wine control (WC) showed higher concentrations of (−)-epigallocatechin gallate; procyanidin A2 and B2. The content of most individual tannins in French oak chip samples decreased when alcoholic and malolactic fermentations were used, as opposed to samples where only malolactic fermentation was carried out (Table 1). This result agrees with the total condensed tannins estimation (Fig. 1a). High amounts of (+)-catechin were found in all the wines independent of the treatment adopted during the fermentation process; however, the use of both chips decreased the amounts of the isomer (−)-epicatechin. The decrease in flavan-3-ol monomers in wine during oak aging has been described in the literature (Gallego et al., 2015b) and may be related to the polymerization reactions (Es-Sahli et al., 1999). Procyanidin B2 was the predominant procyanidin in all wines, and the control wine showed the high-
est concentration of procyanidins as compared to the wines added with oak chips. The sensation of astringency is mainly influenced by the source of procyanidins (Chira et al., 2015) and according to Alencar et al. (2018), the fermentation with French chip decreased the perception of astringency compared to the wine without the chip, due to a reduction in procyanidins content. Six anthocyanins were identified and quantified in the wines, malvidin 3-O-glucoside being the most relevant (Table 1). The ad-
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dition of oak chip during winemaking decreases the amounts of anthocyanins in the wine; however, the use of the French chips during the malolactic fermentation preserved the content of malvidin 3-O-glycoside (66.80 mg L$^{-1}$) in the wine as compared to the control. Malvidin 3-O-glycoside is the most abundant anthocyanin in red wine, and it is demonstrating in the literature this anthocyanin can react with the oak phenolic during the aging process forming new products that change the wine color or flavor (Jordão et al., 2017).

The results show that the addition of oak chip in the fermentation process affected the color intensity of the wines (Table 2) ($p < 0.05$). The wines WC and WAFAMF presented higher color intensity as compared to the other samples. Table 1 shows that in control (WC) wine the sum was significantly higher than in the wines fermented with oak chips. The color of the wines can vary due to the anthocyanins that are unstable during aging in wood, as they may react with other phenolic compounds present in the wine, especially the tannins (Ribéreau-Gayon et al., 2006).

Reduction in the antioxidant potential by FRAP assay (Fig. 1d) was observed in the wines fermented with oak compared to the control. This result is in agreement with Alañón et al. (2011) since the authors showed decreased antioxidant activity when American and French oak wood were used in the winemaking. Figure 1e still shows that the addition of French and American oak chips during the alcoholic and malolactic fermentation (WAAMF), improved the ability of the antioxidants present in the wine to scavenge the peroxyl radical derived from the thermal decomposition of 2,2′-azobis(2-methylpropionamide) dihydrochloride (AAPH). The antioxidant activity of the Syrah wines evaluated, measured by the ORAC assay showed to be higher than wines from other Brazilian regions (29,801 μmol TE L$^{-1}$), Argentine (28,966 μmol TE L$^{-1}$) and Chile (31,470 μmol TE L$^{-1}$) (Granato et al., 2012).

4. Conclusions

The oak chips (4g L$^{-1}$) did not increase the amount of total phenolic compounds, monomeric anthocyanins, condensed tannins, and the antioxidant activity by FRAP assay. However, the addition of the French oak chip (WFMF) in malolactic fermentation and the mixture of French and American oak chips during the alcoholic and malolactic fermentation (WFAMF) improved the antioxidant activity measured by the ORAC assay. It was also demonstrated that the fermentation with oak chip decreased the concentration of procyanidin A2 and B2 in the wine, which may be favorable to decrease astringency. Further studies should be conducted on the effect of oak chips both during fermentation and ageing processes to gain a better understanding about the stability of phenolic compounds in tropical Syrah wines and their relationship with color and antioxidant activity.

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Supplementary Material


Suppl 2. Validation parameters of chromatographic identification and quantification of phenolic compounds performed by HPLC-DAD-FD.

References


### Table 2. Color intensity of Syrah tropical wines fermented with American and French oak chips

<table>
<thead>
<tr>
<th>Wines</th>
<th>Color Intensity (420 + 520 + 620 nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>WC</td>
<td>13.75 ± 0.64</td>
</tr>
<tr>
<td>WAAMF</td>
<td>9.93 ± 0.34</td>
</tr>
<tr>
<td>WAMF</td>
<td>13.64 ± 0.11</td>
</tr>
<tr>
<td>WFAMF</td>
<td>11.08 ± 0.15</td>
</tr>
<tr>
<td>WFMF</td>
<td>10.71 ± 0.24</td>
</tr>
<tr>
<td>WAAMF</td>
<td>11.16 ± 0.14</td>
</tr>
</tbody>
</table>

Values followed by different letters are significantly different ($p < 0.05$) according to Tukey’s test. *Abbreviations: WC (control wine without oak chips), WAAMF (wine added American oak chip in alcoholic and malolactic fermentation), WAMF (wine added American oak chip in malolactic fermentation), WFAMF (wine added French oak chip in alcoholic and malolactic fermentation), WFMF (wine added with French oak chip during malolactic fermentation) and WAAMF (wine added with American and French oak chips in both fermentation).
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